



**CASTLEBERRY FINANCIAL SERVICES GROUP, LLC  
IRA TRANSFER/ROLLOVER PROGRAM**

**CASTLEBERRY FINANCIAL SERVICES GROUP, LLC AFFORDS YOU THE OPPORTUNITY TO INVEST YOUR IRA, 401K OR ANY QUALIFIED ACCOUNT IN OUR FIXED INCOME ALTERNATIVES PROGRAM.**

**PARTNERING WITH MAINSTAR TRUST AS CUSTODIAN, WE HAVE MADE ARRANGEMENTS TO BE RESPONSIBLE FOR ANY FEES INCURED TO YOU, OUR CLIENT.**

- 1) Go to [WWW.CASTLEBERRYFINANCIALSERVICES.COM](http://WWW.CASTLEBERRYFINANCIALSERVICES.COM)
- 2) Click "APPLICATION" on the Menu Bar
- 3) Click "CLICK HERE TO PRINT FORM FOR MAIL OR HAND DELIVERY" to print and complete form
- 4) In SECTION 2 under "ACCOUNT TYPE," Please select box that says "OTHER." Please insert "IRA TRANSFER" (for IRA to IRA Transfers) or "IRA ROLLOVER" (for 401-k or other Qualified Plans), whichever is applicable
- 5) PLEASE FAX THE APPLICATION TO CASTLEBERRY FINANCIAL SERVICES GROUP, LLC AT 561-469-6290 ONCE COMPLETED AND SIGNED
- 6) CONTACT YOUR CURRENT IRA CUSTODIAN AND ASK THEM THE FOLLOWING:
  - a) WITH your decision to either partially or fully LIQUIDATE YOUR ACCOUNT for your contemplated investment with CASTLEBERRY FINANCIAL SERVICES GROUP, LLC
  - b) FOR their FAX NUMBER to RECEIVE MAINSTAR TRUST'S TRANSFER REQUEST
  - c) FOR their ADDRESS should they require a MAILED TRANSFER REQUEST
  - d) CONFIRM whether they require YOUR SIGNATURE GUARANTEED by a LOCAL BANK
  - e) Whether they will WIRE the LIQUIDATED FUNDS to MAINSTAR TRUST OR SEND A CHECK
- 7) CLICK ON THE MAINSTARTRUST.COM BUTTON AT THE BOTTOM OF THIS PAGE
- 8) Your Castleberry Financial Services representative will prefill the following documents for your signature, which are located for your review under "FORMS" on MAINSTARTRUST.COM's home page:
  - a) IRA (Traditional, Roth or Inherited) Application and Agreement (Simplifier Form)
  - b) Purchase Authorization
  - c) Transfer / Direct Rollover Request

Should you have any questions, please feel free to contact us at 877-640-9393



BY CLICKING THE MAINSTARTRUST BUTTON ABOVE, YOU ARE LEAVING CASTLEBERRYFINANCIALSERVICES.COM'S WEBSITE AND ARE ENTERING MAINSTARTRUST.COM'S WEBSITE. CASTLEBERRY FINANCIAL SERVICES GROUP, LLC IS NOT AFFILIATED WITH MAINSTAR TRUST.